

## The Charles Schwab Corporation Recent Client Trading Activity Report

	<u>10/10-10/14</u>	<u>10/17-10/21</u>	<u>10/24-10/28</u>	<u>10/31-11/04</u>	<u>11/07-11/11</u>	<u>11/14-11/18</u>	<u>11/21-11/25</u>	<u>11/28-12/02</u>	<u>12/05-12/09</u>	<u>12/12-12/16</u>	<u>12/19-12/23</u>	<u>12/26-12/30</u>	<u>01/02-01/06</u>
<b>Trading Days</b>	5	5	5	5	5	5	3.5	5	5	5	5	4	4
<b>Clients' Daily Average Trades</b>													
Revenue trades <sup>(1)</sup>	234,554	230,197	261,869	273,605	376,850	345,706	320,151	310,448	334,534	321,920	273,523	273,566	328,667
Asset-based trades <sup>(2)</sup>	85,232	81,063	86,369	92,343	168,968	161,842	122,554	98,835	98,205	98,581	107,552	97,654	102,745
Other trades <sup>(3)</sup>	111,842	133,305	119,336	148,985	208,582	151,551	170,438	284,678	208,773	187,851	193,809	176,351	217,200
<b>Total</b>	431,628	444,566	467,574	514,933	754,400	659,098	613,143	693,961	641,511	608,352	574,884	547,571	648,612

Notes: These weekly averages are updated after 1:00 pm Pacific time on the second business day of the following week. Numbers are preliminary and exclude syndicate trades.

<sup>(1)</sup> Includes all client trades that generate either commission revenue or revenue from principal markups (i.e., fixed income).

<sup>(2)</sup> Includes all eligible trades executed by clients who participate in one or more of the Company's asset-based pricing relationships.

<sup>(3)</sup> Includes all commission free trades, including Schwab Mutual Fund OneSource® funds and ETFs, and other proprietary products.